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Nicole Thorne
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 5041-03-1

Anticipated Classification
of this application:
Class 407 - Subclass: 103.000
Prior Application: 08/632,347
Examiner: S. Bishop
Art Unit: 3209

Hon. Assistant Secretary and
Commissioner of Patents
and Trademarks
Washington, D. C. 20231

CONTINUATION-IN-PART APPLICATION UNDER 37 CFR 1.53

Transmitted herewith for filing is a continuation-in-part application of
prior application Serial No. 08/632,347, filed on April 10, 1996.

Title (as originally filed and as last amended): SMALL-SHANK TOOL FOR
AUTOMATIC LATHES

Name of applicant(s) (as originally filed): William R. Fountaine

Enclosed are:

<u>24</u> Pages of specification	<u>6</u> Pages of claims
<u>1</u> Pages of Abstract	<u>4</u> Sheets of drawing
	<u> </u> formal
	<u> X </u> informal

II. Declaration or Oath

 X Attached
 X an original
executed by (check all applicable items)
 X inventor(s).
 legal representative of inventor(s) 37 CFR 1.42 or 1.43
 joint inventor or person showing a proprietary interest for
inventor who refused to sign or cannot be reached. 37 CFR 1.47
 This is the petition required by 37 CFR 1.47 and the statement
required by 37 CFR 1.47 is also attached.
 Not attached
 Application is made by a person authorized under 37 CFR
1.41(c) on behalf of all of the above named applicant(s).
The declaration or oath, along with the surcharge
required by 37 CFR 1.16(e) can be filed subsequently.
 showing that the filing is authorized. Not required
unless called into question. 37 CFR 1.41(d)

III. Fee Calculation Amendments

 The fees to be charged are to be based on the number of claims
remaining as a result of the:
 attached preliminary amendment
 the unentered amendment filed under 37 CFR 1.116 in the
prior application, which is now repeated
 the claims as on file in the prior application

Basic Fee
Total Claims: 16 - 20 = 0
(Small \$11.00 Large \$22.00)

Small Entity
\$ 395.00

Large Entity
\$ 790.00

Independent
Claims: 1 - 3 = 0
(Small \$41.00 Large \$82.00)

Multiple dependent
claims: 0
(Small \$135.00 Large \$270.00)

Total \$395.00

The fee for extra claims is not being paid at this time.

IV. Small Entity Statement

☒ A verified statement that this is a filing by a small entity is attached.

The small entity statement was filed in the parent application Serial No. _____ on _____ and its benefit under 37 CFR 1.28(a) is hereby claimed.

V. Fee Payment

Not attached:

No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

Attached:

☒ filing \$ 395.00
_____ recording assignment (\$40.00; 37 CFR 1.21(h)(i). See item xi below \$ _____
_____ petition fee for filing by other than all the inventors or person not the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 CFR 1.47 and 1.17(h)) \$ _____
_____ processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l) \$ _____

Total fees enclosed \$ 395.00

VI. Method of Payment of Fees

☒ attached is check in the amount of \$ 395.00
_____ charge Account No. 13-0235 in the amount of \$ _____
A duplicate of this request is attached.

Please charge any deficiency in the filing fee of this or any related copending applications to Deposit Account No. 13-0235. A duplicate of this request is attached.

VII. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Deposit Account No. 13-0235:

☒ 37 CFR 1.16 (filing fees)
☒ 37 CFR 1.16 (presentation of extra claims)
☒ 37 CFR 1.17 (application processing fees)

VIII. Instructions as to Overpayment

☒ credit Account No. 13-0235
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IX. Priority - 35 U.S.C. 119

_____ priority of application Serial No. _____ filed on _____
_____ in _____ is claimed under 35 U.S.C. 119.
_____ The certified copy has been filed in prior application Serial No. _____ filed _____.

X. Relate Back - 35 U.S.C. 120

_____ Amend the specification by inserting before the first line of the sentence:
This is a continuation-in-part of co-pending application Serial No. _____ filed on _____.

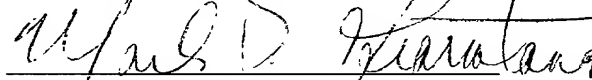
XI. Assignment
_____an assignment of the invention to _____ is attached.

XII. Power Of Attorney
☒ A power has been executed and is attached.
_____Address all future communications to:

XIII. Maintenance of Copendency of Prior Application.
_____ A petition, fee and response has been filed to extend the term
in the pending prior application until_____
_____ Please abandon the prior application when the petition for
extension of time in that application is granted and when this
application is granted a filing date so as to make this appli-
cation copending with said prior application.

XIV. Conditional Petition for Extension of Time in Parent Application.
_____ A conditional petition for extension of time is being filed in
the pending parent application.

Respectfully submitted



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ATTACHMENT TO A PATENT APPLICATION

ENTITLED: SMALL-SHANK TOOL FOR AUTOMATIC LATHES

INVENTOR(S): William R. Fountaine

DOCKET NO.: 5041-03-1

INCLUDING: Transmittal Sheet

Specification

4 Sheets Informal Drawings (Figs. 1-12)

Declaration and Power of Attorney

Verified Statement Claiming Small-Entity Status

Check in the amount of \$ 395.00

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SMALL-SHANK TOOL FOR AUTOMATIC LATHES

Cross Reference to Related Application

5 This patent application is a continuation-in-part of
U.S. patent application serial number 08/632,347, filed April 10,
1996, co-pending herewith.

Field of the Invention

10 The present invention relates to cutting tools for
automatic lathes, and more particularly, to such cutting tools
having an interchangeable tool insert attached to a shank with the
insert defining a generally rhomboidal shape and having a cutting
surface extending beyond the tip of the shank for cutting a
workpiece, and particularly suited for use with small-shank
15 cutting tools having shanks or tool-supporting regions on the
shanks which are less than 9 mm in width.

Background Information

5 In a typical Swiss-type automatic screw machine, a plurality of cutting tools are radially disposed about a sliding headstock for cutting a workpiece which is rotatably and longitudinally driven relative to the tools. The cutting tools are typically comprised of a tool bit or insert attached to a tool holder or shank, and the shanks are selectively actuated to move the tools radially into and out of engagement with a workpiece to cut the workpiece as desired.

15 The tool shanks are typically made of rectangular-shaped bars which are slidably mounted within corresponding channels formed in a tool turret or gauge plate mounted around or above the headstock. The tool shanks are provided in the following industry-standard widths: 7 mm, 8 mm, 10 mm, 12 mm, 5/16 inch, and 1/2 inch. Most smaller automatic screw machines can accommodate only the 7 and 8 mm width shanks (referred to herein as "small-shank" cutting tools), whereas the larger automatic screw machines use the shanks having widths of 10 mm or larger.

20 Cutting tools having a shank width of 8 mm or larger are commercially available in several different configurations. In one type, the carbide tool bits are brazed to the ends of the shanks. In another type, interchangeable carbide tool inserts are screwed or clamped to the ends of the shanks. One advantage of the brazed cutting tools, is that they are relatively less expensive to manufacture and the tool bits are strongly secured in place. One advantage of the interchangeable tool inserts, on the

other hand, is that the inserts are provided with more than one cutting edge so that when each edge becomes worn, chipped or otherwise requires replacement, the tool insert may be relatively quickly rotated or indexed on the shank by adjusting the fastener or clamp to position a fresh cutting edge of the insert into a cutting position. The clamping mechanisms may provide a secure lock; however, they are relatively bulky and may not always be easily mounted within the screw machines, particularly the machines requiring small-shank tools, and therefore may require additional set-up time and installation expense.

Currently, most cutting tool manufacturers provide the same series of tool inserts for use with each of the respective manufacturer's available shanks. In addition, most manufacturers only provide interchangeable tool inserts for tools having shank widths of 10 mm or larger. For cutting tools having shank widths of less than 10 mm, these manufacturers recommend the use of brazed tools, as described above. One drawback of the brazed tools, however, is that once the tool bits become worn, they must be ground to reform the cutting edges, or the entire tools must be replaced, and the machine must be reset to accept the re-ground tool. The inventor of this application is not aware of any manufacturer that has provided cutting tools with interchangeable inserts for shank widths of less than 8 mm, and he is aware of only one manufacturer that has provided cutting tools with interchangeable inserts for shank widths of 8 mm.

Referring to FIG. 1, a typical such commercially-available cutting tool is indicated generally by the reference

numeral 1. The cutting tool 1 includes a rectangular-shaped shank 2 having a width of 8 mm, and a rhomboidal-shaped (or diamond-shaped) insert 3 attached to one end of the shank by a screw 4. As can be seen, the tool insert 3 is defined by an inscribed circle which is approximately equal to the width of the shank 2 (8 mm), and the shank defines a single tool-supporting edge 5 for engaging and supporting a corresponding edge of the tool insert. One of the problems encountered with this configuration is that if the insert is torqued in the clockwise direction in FIG. 1, the tool insert may become relatively easily dislodged on the shank, thus rendering the cutting tool ineffective and requiring time-consuming breakdown and set-up to either repair or replace the tool.

As illustrated in broken lines in FIG. 1, industry has attempted to overcome this problem by providing the shank with another, smaller supporting edge 5' on the opposite side of the tool insert relative to the first supporting edge 5. However, because of the size and location of the second supporting edge, it provides little additional support and has proven to break away relatively easily, and otherwise has failed to effectively prevent the insert from being dislodged when torqued in the clockwise direction in FIG. 1.

Accordingly, the prior art has failed to provide an acceptable small-shank cutting tool and interchangeable, rhomboidal-shaped tool inserts therefor, and it is an object of the present invention to provide such cutting tools and inserts

therefor which overcome the drawbacks and disadvantages of the above-described prior art.

Summary of the Invention

5 The present invention is directed to both a small-shank cutting tool for an automatic lathe, and to a rhomboidal-shaped tool insert therefor. The cutting tool comprises a tool shank which, in one embodiment, defines a rectangular cross-sectional shape having a maximum width of less than approximately 9 mm, and
10 preferably either 7 mm or 8 mm, and including a tool recess defined at one end of the shank by two tool-supporting surfaces oriented at an acute angle relative to each other. One of the tool-supporting surfaces is generally parallel to an adjacent side of the shank, and the shank defines an elongated body portion
15 extending between the respective tool-supporting surface and side of the shank having a thickness of at least approximately 1.0 mm for enhancing the structural integrity of the respective tool-supporting surface.

 A rhomboidal-shaped tool insert of the cutting tool is
20 seated within the tool recess of the shank with two sides of the insert each engaging a respective tool-supporting surface, and a substantial portion of the other two sides of the insert extending beyond the end of the shank and forming a cutting tip for cutting a workpiece. The rhomboidal-shaped insert defines an inscribed
25 circle having a diameter less than approximately 90% of the maximum shank width (or the tool-supporting end region of the shank), and a fastener aperture extending through the approximate

center of the inscribed circle defining a diameter less than approximately 70% of the diameter of the inscribed circle. A threaded fastener of the cutting tool extends through the fastener aperture and is threadedly attached to the tool shank for fixedly
5 attaching the tool insert to the tool shank. The threaded fastener defines a counter-sunk head having a maximum diameter less than approximately 70% of the diameter of the inscribed circle, in order to maintain sufficient structural integrity of the insert to avoid failure during machining operations.

10 One advantage of the present invention is that an interchangeable, rhomboidal-shaped insert may be used with either 7 mm or 8 mm width shanks, and the shank engages and supports such inserts along a substantial portion of two sides of the insert to fixedly secure the insert and prevent the insert from being
15 dislodged or rendered defective in a like manner as encountered with the prior art small-shank cutting tools described above.

Another advantage of the present invention is that two interchangeable tool inserts may be mounted on the same end of a common shank, which in turn may be adapted for mounting on a gang
20 plate or like tool holder for a gang-tool lathe or other turning machine. The two inserts may be configured to perform the same machining operation, or different machining operations, to thereby significantly increase the number of tool inserts that may be simultaneously mounted on a common gang plate or other tool-
25 holding device.

Other objects and advantages of the present invention will become apparent in view of the following detailed description and accompanying drawings.

5

Brief Description of the Drawings

FIG. 1 is a side plan view of a prior art cutting tool having an 8 mm width shank and an interchangeable tool insert.

FIG. 2 is a side plan view of a shank or tool holder of a cutting tool embodying the present invention.

10

FIG. 3 is a top plan view of the shank of FIG. 2.

FIG. 4 is a side plan view of an interchangeable tool insert embodying the present invention for attachment to the shank of FIGS. 2 and 3.

15

FIG. 5 is an elevational view of a threaded fastener embodying the present invention for attaching the interchangeable insert of FIG. 4 to the shank of FIGS. 2 and 3.

FIG. 6 is a top plan view of the threaded fastener of FIG. 5.

20

FIG. 7 is a partial-schematic, elevational view of several different configurations of the cutting tool of the present invention illustrating several exemplary types of machining operations that may be performed with the different configurations.

25

FIG. 8 is a top plan view of another embodiment of a shank for holding the interchangeable tool inserts of the invention.

FIG. 9 is an enlarged, front plan view of the shank of FIG. 8.

FIG. 10 is a top plan view of another embodiment of a cutting tool employing a pair of interchangeable tool inserts
5 embodying the invention.

FIG. 11 is a front plan view of the cutting tool of FIG. 10.

FIG. 12 is an enlarged, front plan view of the shank of the cutting tool of FIG. 10 without the interchangeable tool
10 inserts mounted thereon.

Detailed Description of the Preferred Embodiments

In FIGS. 2 and 3, a typical tool holder or shank embodying the present invention is indicated generally by the
15 reference numeral 10. The shank 10 is adapted to hold one or more interchangeable tool inserts, shown typically at 12 FIG. 4, for use in an automatic screw machine, and is particularly suitable for use in "small-shank" screw machines that can only accommodate shanks having widths of less than 9 mm. Currently, the industry
20 standard shank widths for such "small-shank" machines are 7 mm and 8 mm.

As shown in FIG. 2, the shank 10 defines an elongated or longitudinally-extending body 14 having a rectangular cross-sectional configuration. In the embodiment of the present
25 invention illustrated, each of the four sides of the body 14 define an equal width "A" forming a square cross section. One end of the body 14 of the shank defines a tool recess 16 for receiving

and supporting a tool insert 12 (FIG. 4), as is described further below. The recess 16 is defined by a first tool-supporting surface 18, a second tool-supporting surface 20, and a base surface 22 extending between the first and second surfaces and oriented normal to these surfaces. As shown in FIG. 2, the first and second tool-supporting surfaces 18 and 20 are oriented at an acute angle "B" relative to each other, and the outermost end of each tool-supporting surface is oriented tangential to an inscribed circle "IC" defined by each tool insert 12 (FIG. 4).

As can be seen in FIG. 2, the first and second tool-supporting surfaces 18 and 20 are positioned on the body 14 so as to define an elongated lip or supporting body section 24 behind the second tool-supporting surface 20 and having a thickness "C". In order to provide adequate support for the tool insert when received in the recess 16 and to otherwise ensure the structural integrity of the cutting tool, the thickness C of the lip 24 is preferably at least approximately 1.0 mm for a shank having a width A of approximately 7 mm, and may be thicker for larger-width shanks. As also shown in FIG. 2, the shank 10 defines a relief pocket 26 formed at the innermost ends of the first and second tool-supporting surfaces 18 and 20.

Turning to FIG. 4, the interchangeable tool insert 12 has four sides forming a substantially rhomboidal or diamond shape, including two parallel first sides 28 and two parallel second sides 30. Each first side 28 of the insert is oriented at an acute angle B relative to a respective second side 30, and the adjacent pairs of first and second sides each form a respective

cutting tip 32 having a depth "D", as shown in FIG. 4. Each cutting tip 32 defines one or more respective cutting edges which are shaped and configured in accordance with the particular type of machining operation to be performed, as is described further
5 below. For example, as shown in FIG. 4, a chamfer is formed at the juncture of each respective pair of first and second surfaces 28 and 30, respectively. The tool insert 12 is preferably made of carbide steel, but may equally be made of other desired materials.

The angle B of the tool insert shown in FIG. 4 is the
10 same as the angle B of the shank shown in FIG. 2 so that when the insert is received within the recess 16 of the shank, the respective first and second surfaces 28 and 30 are seated in conforming engagement with the first and second tool-supporting surfaces 18 and 20 of the shank, respectively, to securely support
15 the insert on two sides. In the embodiment of the present invention illustrated, the angle B is approximately 35°; however, as will be recognized by those skilled in the pertinent art, this angle may be changed as desired depending upon the requirements of a particular cutting tool design or other machining system. When
20 the tool insert 12 is received within the recess 16 of the shank, preferably substantially the entire depth D of the respective cutting tip 32 extends beyond the end surface 33 of the shank (FIGS. 2 and 3), as is described further below.

As shown in broken lines in FIG. 4, the insert 12
25 defines an inscribed circle "IC", which is defined by the diametrical distance between either the opposing first sides 28 or the opposing second sides 30 of the insert. In the embodiment of

the present invention illustrated, the tool insert 12 is designed for tool shanks having a width A of 7 mm or greater (FIGS. 2 and 3), and therefore the diameter of the inscribed circle IC is approximately 6 mm. Accordingly, in a shank 10 having a width of 7 mm, the thickness C of the supporting lip 24 is approximately 1 mm, and in larger-width shanks the thickness C may be greater. In accordance with the present invention, for such small-width shanks (i.e., 8 mm or less), the diameter of the inscribed circle IC should be no more than approximately 90% of the width A of the shank, and for 7 mm shanks, the diameter of the inscribed circle IC is preferably approximately 86% or less of the shank width, in order to ensure that the lip 24 has sufficient thickness and structural integrity to fixedly support the tool insert during machining operations. Accordingly, the diameter of the inscribed circle IC is preferably within the range of approximately 5.5 mm to 6.5 mm for shanks having a width within the range of approximately 7 mm to 8 mm

As also shown in FIG. 4, the tool insert 12 defines a counter-sunk aperture 34 extending through the approximate center of the inscribed circle IC for receiving a threaded fastener 36 (FIG. 5) to fixedly attach the insert to the shank. The counter-sunk aperture 34 defines a maximum diameter E which is sufficiently less than the diameter of the inscribed circle IC of the insert to maintain its structural integrity. As shown in FIG. 5, the threaded fastener 36 is preferably a counter-sunk torque screw defining a maximum head diameter E, which is approximately the same as the maximum diameter E of the counter-sunk aperture 34

of the tool insert. In this embodiment of the invention, wherein the tool insert defines an inscribed circle IC having a diameter of approximately 6 mm, the diameter E should be no more than approximately 4 mm, and is preferably approximately 3.5 mm.

5 However, as will be recognized by those skilled in the pertinent art, the diameter E may be changed as required depending upon the inscribed circle of the insert. In accordance with the present invention, for tool inserts having an inscribed circle IC of approximately 6 mm or less, the diameter E should be no more than
10 approximately 70% of the diameter of the inscribed circle. Similarly, for the insert of the invention wherein the diameter of the inscribed circle IC is within the range of approximately 5.5 mm to 6.5 mm for shank widths within the range of approximately 7 mm to 8 mm, the maximum head diameter E will be
15 within the range of approximately 3 mm to 4.5 mm depending upon the specific minimum shank width and inscribed circle selected.

Also with reference to FIG. 5, in this embodiment of the invention the angle F of the conical-shaped surface 38 of the fastener head is approximately 60° (the "counter-sink angle");
20 however, as will be recognized by those skilled in the pertinent art, this angle may be changed within limits depending upon the requirements of a particular cutting tool design or other machining system. In accordance with the present invention, the angle F is preferably within the range of approximately 52° to 68°
25 to minimize the overall head diameter. As will also be recognized by those skilled in the pertinent art, the surface angle of the counter-sink aperture 34 is selected to substantially match the

angle F of the fastener 36 and is preferably configured so that when the fastener is received within the aperture and threadedly engaged with the shank, the top of the fastener is at approximately the same level as the top edge of the aperture.

5 As shown in FIGS. 2 and 3, the shank 10 defines a threaded bore 40 for threadedly receiving the fastener 36 upon attachment of the tool insert 12 to the shank. The threads of the fastener and bore are preferably relatively fine, and in the preferred embodiment illustrated a "M2.5-4H" thread is employed
10 (2.5 mm pitch x 4 threads per mm). Accordingly, the tool insert 12 is seated within the recess 16 of the shank with a respective first side 28 of the insert engaging the first tool-supporting surface 18, and the adjacent second side 30 of the insert engaging the second tool-supporting surface 20 of the shank. The fastener
15 36 is then inserted through the counter-sunk aperture 34 of the insert and threadedly engaged within the threaded bore 40 of the shank to fixedly secure the insert to the shank.

 As mentioned above, the cutting tip 32 of each insert 12 may take any of several different shapes and/or configurations,
20 depending upon the type of machining operation to be performed. For example, as shown typically in FIG. 7, the cutting tip 32 of the insert 12 may be ground or otherwise shaped in the several different configurations shown to perform front turning, back turning, cut off, threading, plunge and turning, and grooving
25 operations. On the upper side of the workpiece in FIG. 7, the three exemplary cutting tools of the invention are shown in bottom

plan view, whereas on the lower side of the workpiece the three cutting tools are shown in top plan view.

One advantage of the cutting tool of the present invention is that because the diameter of the inscribed circle IC is preferably less than approximately 6 mm, for small-width shanks having a width of 8 mm or less, there is sufficient space to one side of the tool insert when attached to the shank to provide a second tool-supporting surface and lip for engaging and supporting the rhomboidal insert on two sides. Accordingly, the problems associated with the prior art small-shank cutting tools with interchangeable inserts are substantially avoided.

As may be recognized by those skilled in the pertinent art based on the teachings herein, the interchangeable tool inserts of the invention may be used with any of a plurality of different types of shanks having different shank configurations and widths, and may be used in other types of metal-working machinery, such as gang-tool lathes, chuckers or like automated turning machines. In addition, as described in further detail below, a plurality of interchangeable tool inserts may be mounted on the tool-supporting end of a larger-width shank (e.g., a 5/8 inch shank) without having to increase the overall width of the tool-supporting end of the shank by forming a "club foot" or like expanded-width mounting portion.

Turning to FIGS. 8 and 9, another tool holder or shank adapted for use with the tool inserts of the invention is indicated generally by the reference numeral 110. The shank 110 is designed for use in a gang-tool lathe, chucker, or like turning

machine, and is particularly suitable for mounting on a gang plate (also referred to as a gang-tool holder) or other device for mounting the tools in such machinery. The shank 110 is constructed for receiving and supporting an interchangeable tool insert 12 (as shown in phantom in FIG. 9), and therefore includes a tool-supporting end defining the same material features of the invention as the shank 10 described above with reference to FIGS. 2 and 3. Accordingly, like reference numerals preceded by the numeral 1 are used to indicate like elements.

As shown in FIG. 8, the shank 110 defines an elongated or longitudinally-extending body 114 having a generally circular cross-section, and a longitudinally-extending flat 115 for securing and preventing rotation of the shank when mounted on a gang plate or other tool-mounting device. As shown best in FIG. 9, the tool-supporting end of the shank 110 defines a tool recess 116 for receiving and supporting an interchangeable tool insert 12 of the invention (shown in phantom). The tool recess 116 is formed by a first tool-supporting surface 118, a second tool-supporting surface 120 oriented at an acute angle "B" relative to the first tool supporting surface 118, and a base surface 122 extending between the first and second tool-supporting surfaces and oriented normal to those surfaces. As indicated in broken lines in FIG. 9, the outer end of each tool-supporting surface 118 and 120 is oriented tangential to the inscribed circle IC of each tool insert 12 received in the tool recess 116.

Also like the shank 10 described above, the tool-supporting end of the shank 110 further defines an elongated lip

or supporting body section 124. The elongated lip 124 is formed between the second tool-supporting surface 120 and the adjacent side of the tool-supporting end of the shank and defines a maximum thickness "C". As described above, in order to provide adequate support for the tool insert when received in the recess 116 and otherwise to ensure the structural integrity of the cutting tool, the maximum thickness C of the lip 124 is at least approximately 1.0 mm. As also shown in FIG. 9, the shank 110 defines a relief pocket or channel 126 formed at the innermost ends of the first and second tool-supporting surfaces 118 and 120.

In the embodiment of FIGS. 8 and 9, the diameter "A" of the body 114 of the shank 110 is approximately 0.6245 inch, and therefore the shank 110 defines a relatively large width (or cross-sectional thickness) in comparison to the shank 10 described above. However, if desired, the tool-supporting end of the shank 110 may be defined by a relatively narrow-width portion 142. One advantage of the narrow-width tool-supporting end 142 is that it facilitates gripping and removing the tool from a gang plate or like tool-mounting device.

As shown in FIG. 9, a maximum width "G" is defined between the outermost end of the first tool-supporting surface 118 and the opposite side of the elongated body portion 124 (which, in this embodiment, is also equal to the maximum width of the tool-supporting end region of the shank). The outermost end of the first tool-supporting surface 118 is coincident with, or terminates at the adjacent side of the tool-supporting end 142, and therefore is also coincident with the center-line of the

shank. This is necessary so that when the tool insert 12 is received within the tool recess 116, as shown in phantom in FIG. 9, the upper cutting edge 30 of the tool insert is aligned with the centerline of the shank, and therefore is also aligned with the centerline of the spindle when mounted in a gang plate in a gang-tool lathe, chucker or like turning machine. Accordingly, the width G is equal to approximately $1/2$ the overall thickness A of the shank (about .312 inch or 8 mm), and the maximum width C of the elongated lip 124 is approximately 2 mm. Thus, in accordance with the teachings of the invention as set forth above, the maximum width C is greater than about 1 mm (in the illustrated embodiment the width C is about 2 mm), and therefore has sufficient thickness and structural integrity to fixedly support the tool insert during machining operations. In addition, as further taught by the disclosure above, the diameter of the inscribed circle IC of the tool insert 12 (FIG. 4) is less than approximately 90% of the width G, and the diameter of the fastener aperture 34 extending through the center of the inscribed circle is less than approximately 70% of the diameter of the inscribed circle.

The angle B formed between the first and second tool-supporting surfaces 118 and 120 (FIG. 9) is the same as the angle B of the tool insert 12 shown in FIG. 4 so that when the insert is received within the tool recess 116 of the shank, the respective first and second surfaces 28 and 30 are seated in conforming engagement with the first and second tool-supporting surfaces 118 and 120 of the shank, respectively, to securely support the insert

on two sides. In the embodiment of the present invention illustrated, the angle B is approximately 35°; however, as will be recognized by those skilled in the pertinent art, this angle may be changed as desired depending upon the requirements of a particular cutting tool design or other machining system. When the tool insert 12 is received within the tool recess 116 of the shank, preferably substantially the entire depth D of the respective cutting tip 32 extends beyond the end surface 133 of the shank (FIG. 9) in the same manner as described above with reference to the previous embodiment.

As shown in FIGS. 8 and 9, the shank 110 defines a threaded bore 140 for threadedly receiving the fastener 36 (FIG. 5) upon attachment of the tool insert 12 to the shank. Accordingly, as shown in broken lines in FIG. 9, the tool insert 12 is seated within the tool recess 116 of the shank with a respective first side 28 of the insert engaging the first tool-supporting surface 118, and the adjacent second side 30 of the insert engaging the second tool-supporting surface 120 of the shank. The fastener 36 is then inserted through the counter-sunk aperture 34 of the insert and threadedly engaged within the threaded bore 140 of the shank to fixedly secure the insert to the shank.

The shank of FIGS. 8 and 9 is a left-handed configuration. However, as will be recognized by those skilled in the pertinent art based on the teachings herein, the corresponding right-handed version is a mirror image of the left-handed version shown.

Turning to FIGS. 10 through 12, a shank for another cutting tool embodying the invention is indicated generally by the reference numeral 210. The primary difference between the shank 210 and the shank 110 described above, is that the shank 210 is adapted to receive two tool inserts 12 mounted on the tool-supporting end of the shank, which in turn permits two cutting tool inserts to be mounted in each tool station of a gang plate or like tool-holding device in a gang-tool lathe, chucker or other turning machine. Otherwise, the shank 210 includes the same material features of the invention as the shank 110 described above, and therefore like reference numerals preceded by the numeral "2" instead of the numeral "1" are used to indicate like elements.

As shown in FIG. 10, the shank 210 defines an elongated or longitudinally-extending body 214 having a generally circular cross-section, and a longitudinally-extending flat 215 for securing and preventing rotation of the shank when mounted in a gang plate or like tool-holding device. As shown in FIGS. 11 and 12, the tool-supporting end 242 of the shank defines a pair of tool recesses 216 formed on opposite sides of the centerline of the shank relative to each other. On each side of the centerline, each tool recess 216 is formed by a first tool-supporting surface 218, a second tool-supporting surface 220 oriented at an acute angle "B" relative to the respective first tool supporting surface 218, and a base surface 222 extending between the respective first and second tool-supporting surfaces and oriented normal to those surfaces. As indicated in broken lines in FIG. 12, the outer end

of each tool-supporting surface 218 and 220 is oriented tangential to the inscribed circle IC of the tool insert 12 received within the respective tool recess 216.

Like the shanks 10 and 110 described above, the tool-supporting end 242 of the shank 210 defines a pair of elongated lips or supporting body sections 224 formed on opposite sides of the tool-supporting end relative to each other. In accordance with the invention, each elongated lip 224 defines a maximum thickness "C" which is at least approximately 1.0 mm in order to provide sufficient thickness and structural integrity to fixedly support the respective tool insert 12 during machining operations.

Also like the embodiments described above, a maximum width "G" is defined for each tool-supporting region on the end of the shank, and extends between the outermost end of each first tool-supporting surface 218 (which is coincident with the centerline of the shank) and the opposite side of the respective elongated lip 224. In the embodiment of the invention illustrated, the maximum width G of each of the two tool-supporting regions of the shank is equal to approximately 1/2 the overall thickness A of the shank (about .312 inch or 8 mm), and therefore is less than 9 mm. Accordingly, as further taught by the disclosure above, the diameter of the inscribed circle IC of the tool insert 12 (FIG. 4) is less than approximately 90% of the width G, and the diameter of the fastener aperture 34 extending through the center of the inscribed circle is less than approximately 70% of the diameter of the inscribed circle.

As described above, the outer end of each first tool-supporting surface 218 is coincident with, or terminates at the centerline of the shank. Accordingly, as shown in FIG. 11, the construction of the tool-supporting surfaces ensures that the upper cutting edge 30 of both tool inserts 12 (which may be viewed as the inner cutting edges in FIG. 11) are aligned with the centerline of the shank when received and supported in the tool recesses 216 (FIG. 11), and thus are aligned with the centerline of a spindle when mounted on a gang plate in a gang-tool lathe, chucker or other turning machine. Thus, as shown in FIG. 11, the two inserts are rotatably positioned 180° relative to each other, but the cutting edges 30 of the insert pair are aligned with each other and with the centerlines of the shank and spindle.

The angle B formed between each of the first and second tool-supporting surfaces 218 and 220 (FIG. 12) is the same as the angle B of the respective tool insert 12 received therein so that the first and second surfaces 28 and 30 of each insert are seated in conforming engagement with the respective first and second tool-supporting surfaces 218 and 220 of the shank, respectively, to securely support each insert on two sides. In the embodiment of the present invention illustrated, each angle B is approximately 35°; however, as will be recognized by those skilled in the pertinent art, the angles of the two inserts (and tool recesses) may be different, or each angle may be changed as desired depending upon the requirements of a particular cutting tool design or other machining system. When each tool insert 12 is received within the corresponding tool recess 216 of the shank,

preferably substantially the entire depth D of the respective cutting tip 32 extends beyond the end surface 233 of the shank (FIG. 11) in the same manner as described above with reference to the previous embodiments.

5 As shown in FIGS. 11 and 12, the shank 210 defines a relief pocket or channel 226 formed at the innermost ends of each pair of first and second tool-supporting surfaces 218 and 220, respectively. In addition, the shank 210 defines a threaded bore 240 extending into the base surface 222 of each recess 216 for
10 threadedly receiving the fasteners 36 (FIG. 5) upon attachment of the tool inserts 12 to the shank. Accordingly, as shown in FIG. 11, each tool insert 12 is seated within the respective recess 216 of the shank with a first side 28 of each insert engaging the respective first tool-supporting surface 218, and the adjacent
15 second side 30 of the insert engaging the respective second tool-supporting surface 220 of the shank. Each fastener 36 is then inserted through the respective counter-sunk aperture 34 of the insert and threadedly engaged within the respective threaded bore 240 of the shank to fixedly secure each insert to the shank.

20 The double-insert configuration of FIGS. 8-12 is particularly advantageous for cutting tools used in gang-tool lathes, chuckers or other turning machines having gang plates or similar tool-holding devices. Commercially-available gang plates are typically designed to hold two, four, six or eight tools.
25 However, with the cutting tool of the invention as shown in FIGS. 10-12, the number of cutting tool inserts simultaneously mounted on a commercially-available gang plate may be significantly

increased over systems employing prior art interchangeable tools. Each station of the gang plate which is designed to hold only one shank, may nevertheless hold two cutting tool inserts in the manner shown in FIGS. 10-12. Thus, the number of cutting tools
5 simultaneously mounted on each gang plate may be substantially increased, and possibly doubled in comparison to gang-tool lathes, chuckers or like turning machines employing the prior art cutting tools having only one tool bit or insert on each shank.

Yet another advantage of the cutting tools and
10 interchangeable inserts of the invention is that two inserts each may be mounted on a respective tool-supporting region formed on the end of a shank, as shown in FIGS. 10-12, without increasing the width, or otherwise expanding the tool-supporting end of the shank. With prior art interchangeable tool inserts having
15 significantly larger dimensions, on the other hand, a pair of such inserts could not be properly mounted on the end of a shank without forming a club-foot or like expanded tool-mounting portion. In addition, if a club foot or other expanded-width portion were formed at the end of a shank to support a pair of
20 prior art tool inserts, the club foot or inserts would likely extend into the adjacent tool-supporting station on a commercially-available gang plate, thus preventing every tool station from simultaneously being used and thereby defeating one of the purposes of mounting the two inserts on a common shank.

25 Yet another advantage of the cutting tools and inserts of the invention is that the two interchangeable inserts mounted on a single shank each may be configured to perform any one of a

plurality of different machining operations. Thus, a gang-tooling system of the invention may simultaneously mount on a single gang plate or like tool holder all tools for performing a plurality of different machining operations (such as those described above with
5 reference to FIG. 7) which may be necessary to manufacture one or more different types of workpieces. For example, it may be possible to perform the six different machining operations of FIG. 7 with fewer than six shanks mounted on a single gang plate. This may provide a significant advantage with respect to eliminating
10 downtime which might otherwise be required to install more than one gang plate, or to change the tools mounted on a gang plate to perform all required machining operations on a workpiece.

As will be recognized by those skilled in the pertinent art, numerous changes and modifications may be made to the above-
15 described and other embodiments of the present invention without departing from its scope as defined in the appended claims. Accordingly, this detailed description of a preferred embodiment is to be taken in an illustrative, as opposed to a limiting sense.

What is claimed is:

1. A tool insert for cutting on an automatic lathe, wherein the tool insert is adapted for attachment by a threaded fastener to a tool shank having a tool-supporting end defining a first tool-supporting surface, a second tool-supporting surface oriented at an acute angle relative to the first tool supporting surface and forming a tool recess between the first and second tool-supporting surfaces for receiving and supporting the tool insert, wherein the tool-supporting end of the shank further defines an elongated body portion formed between the second tool-supporting surface and an adjacent side of the tool-supporting end of the shank, and the elongated body portion defines a maximum thickness of at least approximately 1.0 mm, and wherein the maximum width of the portion of the shank extending between an outer end of the first tool-supporting surface and the opposite side of the elongated body portion is less than approximately 9 mm; said tool insert comprising:

four sides defining an approximately rhomboidal shape, wherein the insert defines an inscribed circle having a diameter less than approximately 90% of said maximum width of the portion of the shank extending between the outer end of the first tool-supporting surface and the opposite side of the elongated body portion of the tool-supporting end of the shank, and a fastener aperture extending through the approximate center of the inscribed circle having a diameter less than approximately 70% of the diameter of the inscribed circle, and wherein the rhomboidal-shaped insert is receivable within the tool recess of the tool-

30 supporting end of the shank with two sides of the insert each engaging a respective tool-supporting surface of the shank and a substantial portion of the other two sides of the insert extending outwardly from the shank and forming a cutting tip for cutting a workpiece.

2. A tool insert as defined in claim 1, wherein the two sides of the insert engageable with the tool-supporting surfaces of the shank are oriented at an angle of approximately 35° relative to each other.

3. A tool insert as defined in claim 1, wherein the two sides of the insert which extend beyond the end of the shank are oriented at an angle of approximately 35° relative to each other.

4. A tool insert as defined in claim 1, wherein the inscribed circle has a diameter within the range of approximately 5.5 mm through 6.5 mm.

5. A tool insert as defined in claim 1, in combination with:

a tool shank defining a rectangular cross-sectional shape and having a maximum width of less than approximately 9 mm, and including a tool recess defined at one end of the shank by two tool-supporting surfaces oriented at an acute angle relative to each other for receiving and supporting the tool insert, wherein one of the tool-supporting surfaces is oriented approximately parallel to an adjacent side of the shank forming an elongated body portion between the tool recess and the respective side of the shank and having a thickness of at least approximately 1.0 mm; and

a threaded fastener extending through the fastener aperture and threadedly attached to the tool shank for fixedly attaching the tool insert to the tool shank, the threaded fastener defining a head having a maximum diameter less than approximately 70% of the diameter of the inscribed circle.

6. A tool insert in combination with a tool shank and fastener as defined in claim 5, wherein the first and second tool-supporting surfaces of the shank are oriented at an angle of approximately 35° relative to each other.

7. A tool insert in combination with a tool shank and fastener as defined in claim 5, wherein the tool shank defines a square cross-sectional shape having a width of either 7 mm or 8 mm.

8. A tool insert in combination with a tool shank and fastener as defined in claim 5, wherein the inscribed circle has a diameter within the range of approximately 5.5 mm through 6.5 mm and the maximum shank width is within the range of approximately 7
5 mm through 8 mm.

9. A tool insert in combination with a tool shank and fastener as defined in claim 5, wherein the maximum shank width is within the range of approximately 7 mm through 8 mm and the maximum head diameter of the threaded fastener is within the range
5 of approximately 3 mm through 4.5 mm.

10. A tool insert in combination with a tool shank and fastener as defined in claim 5, wherein the threaded fastener is a counter-sunk screw defining a counter-sink angle within the range of approximately 52° through 68°.

11. A tool insert in combination with a tool shank and fastener as defined in claim 10, wherein the counter-sink angle of the threaded fastener is 60°.

12. A tool insert as defined in claim 1, in combination with:

5 a tool shank including a first tool recess formed within a first tool-supporting region located on one side of a tool-supporting end of the shank, and a second tool recess formed within a second tool-supporting region located opposite the first tool-supporting region, wherein each tool recess is defined by a first tool-supporting surface and a second tool supporting surface
10 spaced apart from, and oriented at an acute angle relative to the respective first tool-supporting surface, and each tool recess is adapted to receive and support a respective tool insert between its first and second tool-supporting surfaces; and wherein each tool-supporting region further includes an elongated body portion
15 formed between the respective second tool-supporting surface and an adjacent side of the tool-supporting end of the shank, and each elongated body portion defines a maximum width of at least approximately 1.0 mm; and wherein the maximum width of each tool-supporting region of the shank extending between an outer end of
20 the respective first tool-supporting surface and the opposite side of the respective elongated body portion is less than approximately 9 mm.

13. A tool insert in combination with a tool shank as defined in claim 12, comprising two of said inserts, each being fixedly secured by a respective fastener within a respective tool recess.

14. A tool insert in combination with a tool shank as defined in claim 13, wherein each of said inserts is configured to perform a different one of a plurality of different machining operations relative to the other, and the plurality of machining operations are selected from the group including: grooving, plunge and turn, threading, front turn, back turn and cut off.

15. A tool insert in combination with a tool shank as defined in claim 13, wherein the two inserts are positioned approximately 180° apart from each other, and each insert defines a cutting edge aligned with the centerline of the shank.

16. A tool insert in combination with a tool shank as defined in claim 12, wherein the shank defines an approximately circular cross-sectional configuration for mounting in a gang-tool holder of a gang-tool lathe.

Abstract of the Disclosure

In a small-shank cutting tool for an automatic lathe or like turning machine, the tool shank defines at least one tool-supporting region having a width of less than 9 mm on a tool-supporting end of the shank. The tool-supporting region defines a tool recess formed by two tool-supporting surfaces oriented at an acute angle relative to each other. A rhomboidal-shaped tool insert of the cutting tool is seated within the tool recess of the shank with two sides of the insert each engaging a respective tool-supporting surface of the shank, and a substantial portion of the other two sides of the insert extending beyond the end of the shank and forming a cutting tip for cutting a workpiece. The rhomboidal-shaped insert defines an inscribed circle having a diameter less than approximately 6 mm, and a fastener aperture extending through the approximate center of the inscribed circle defining a diameter less than that of the inscribed circle, in order to provide sufficient space on the tool-supporting region of the shank to form at least two supporting surfaces for engaging and supporting the rhomboidal insert along a substantial portion of each of two sides. A threaded fastener of the cutting tool extends through the fastener aperture and is threadedly attached to the tool shank for fixedly attaching the tool insert to the tool shank. The threaded fastener is preferably a counter-sunk torque screw, and defines a maximum head diameter less than approximately 70% of the diameter of the inscribed circle in order to maintain sufficient structural integrity of the insert to avoid failure during machining operations.

Applicant or Patentee: William R. Fountaine Docket No.: 5041-03-1
Serial or Patent No: To Be Assigned Filed or Issued: Herewith
For: SMALL-SHANK TOOL FOR AUTOMATIC LATHES

VERIFIED STATEMENT (DECLARATION CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled SMALL-SHANK TOOL FOR AUTOMATIC LATHES described in

[X] the specification filed herewith
[] application Serial No. _____, filed _____
[] Patent No. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

[X] no such persons, concern, or organization
[] persons, concerns or organizations listed below*

* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME

ADDRESS

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

William R. Fountaine

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

William R. Fountaine
Signature of Inventor

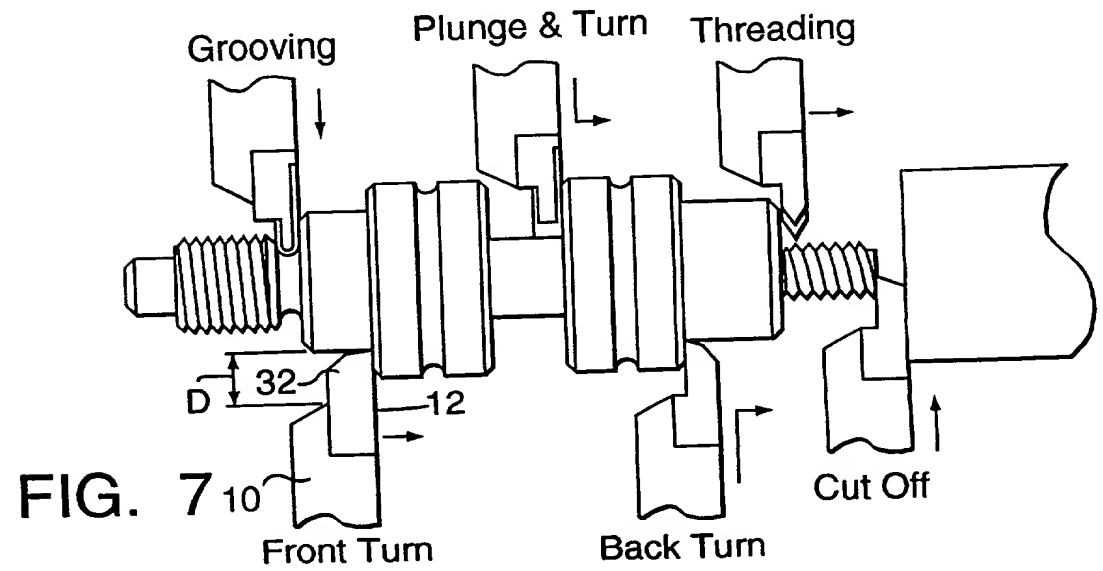
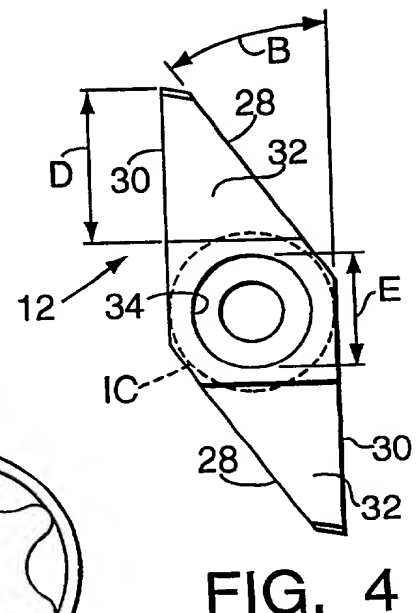
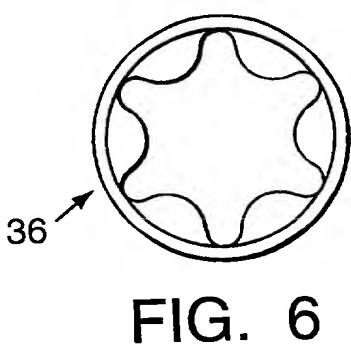
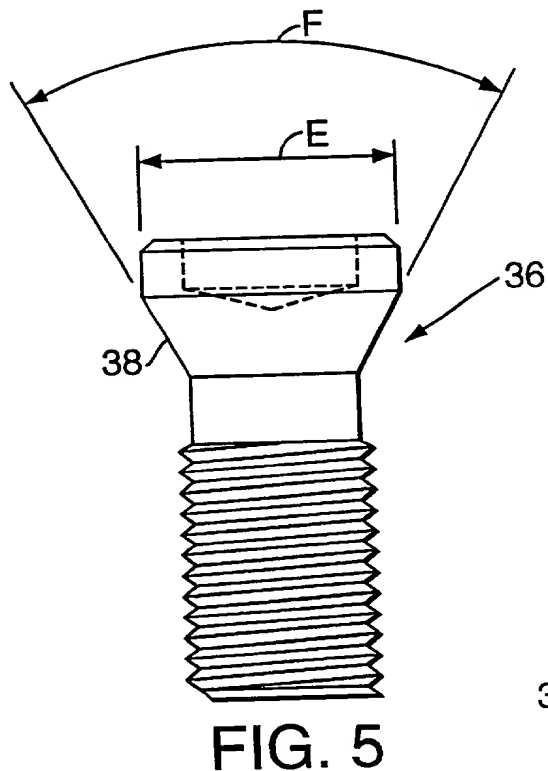
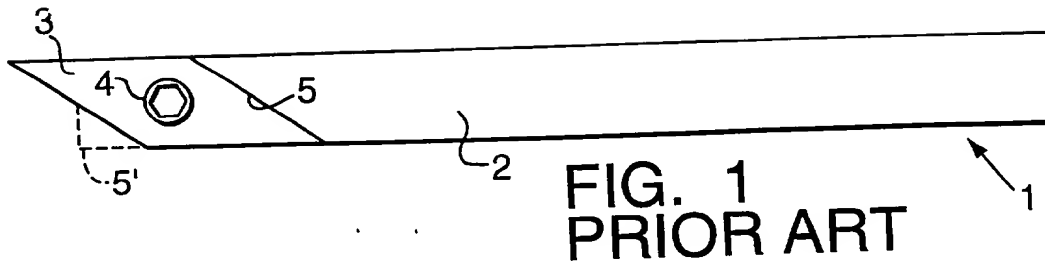
Signature of Inventor

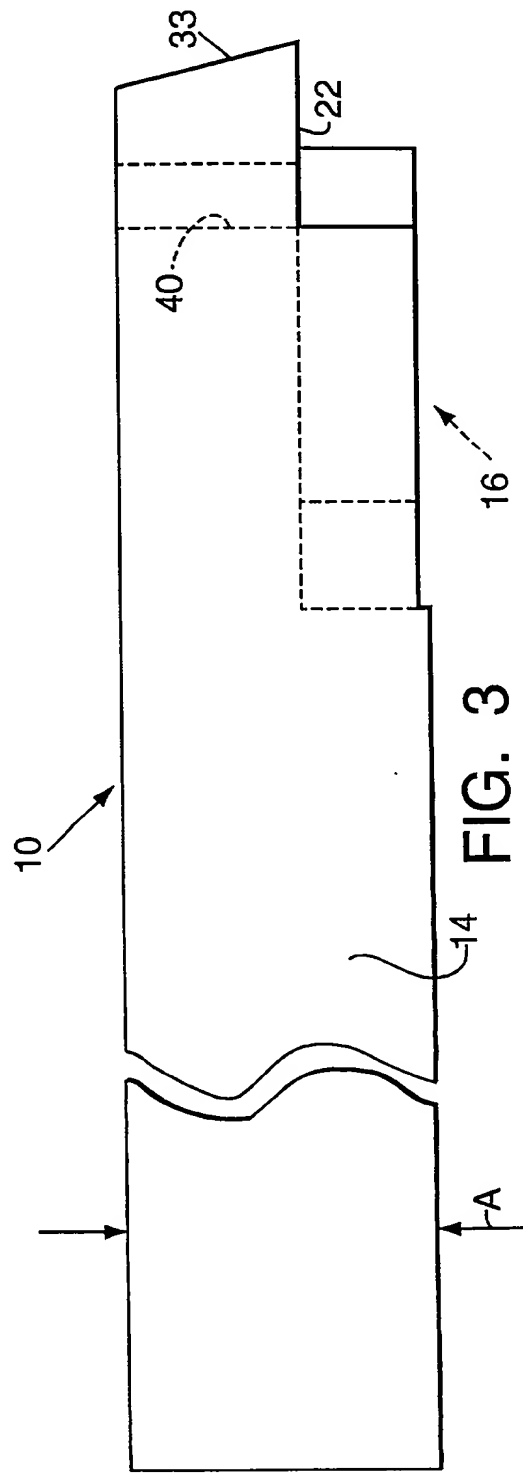
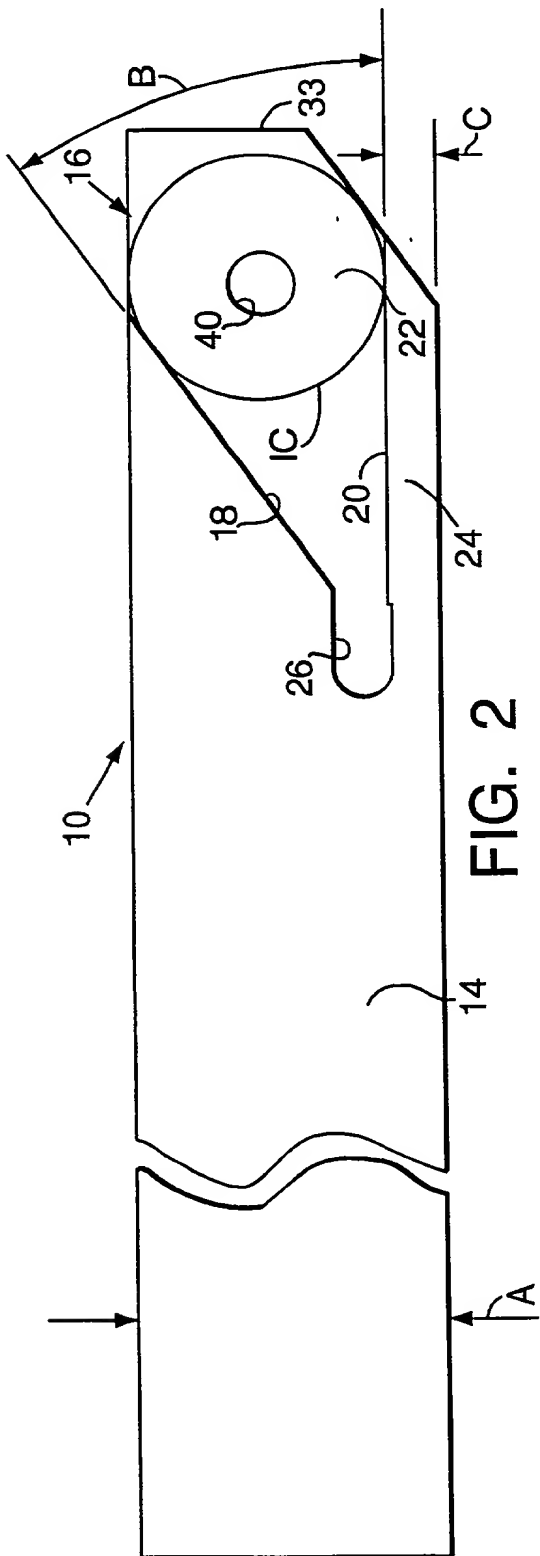
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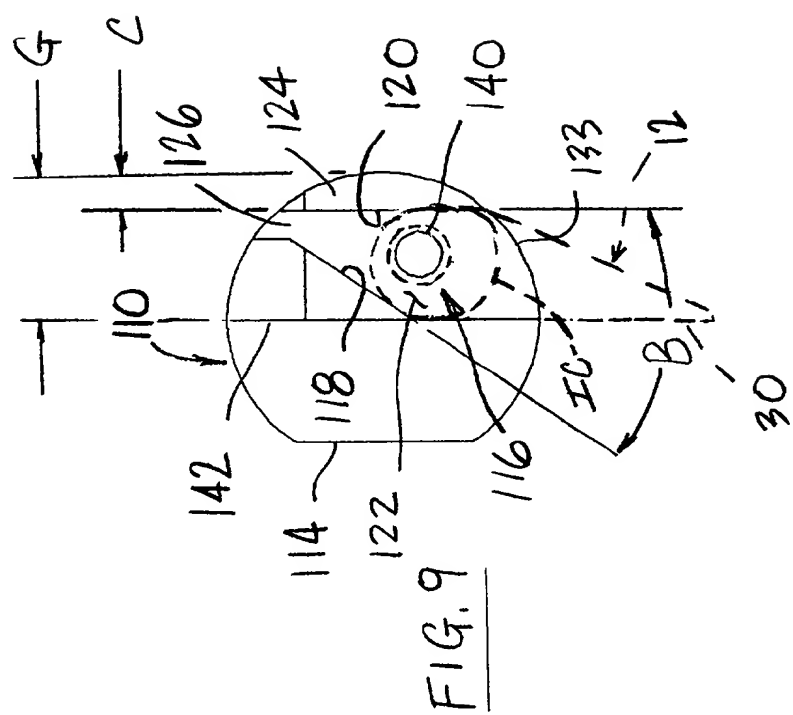
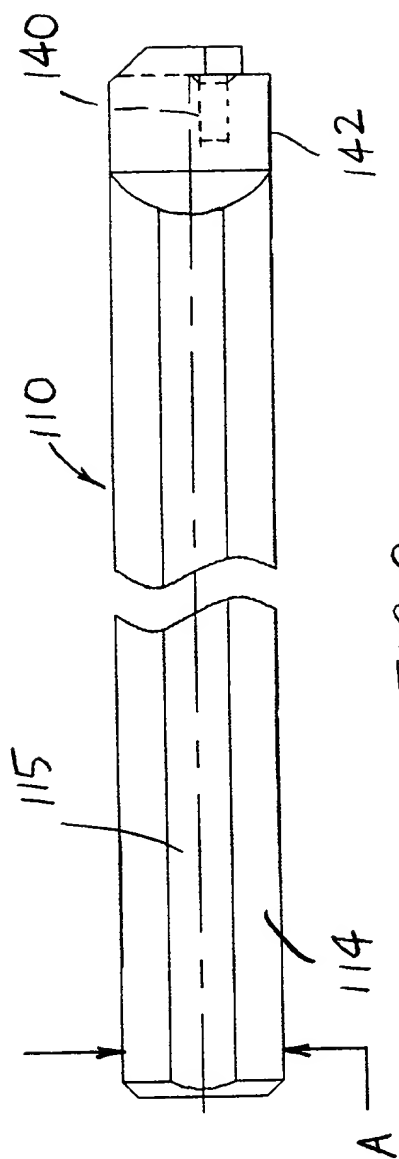
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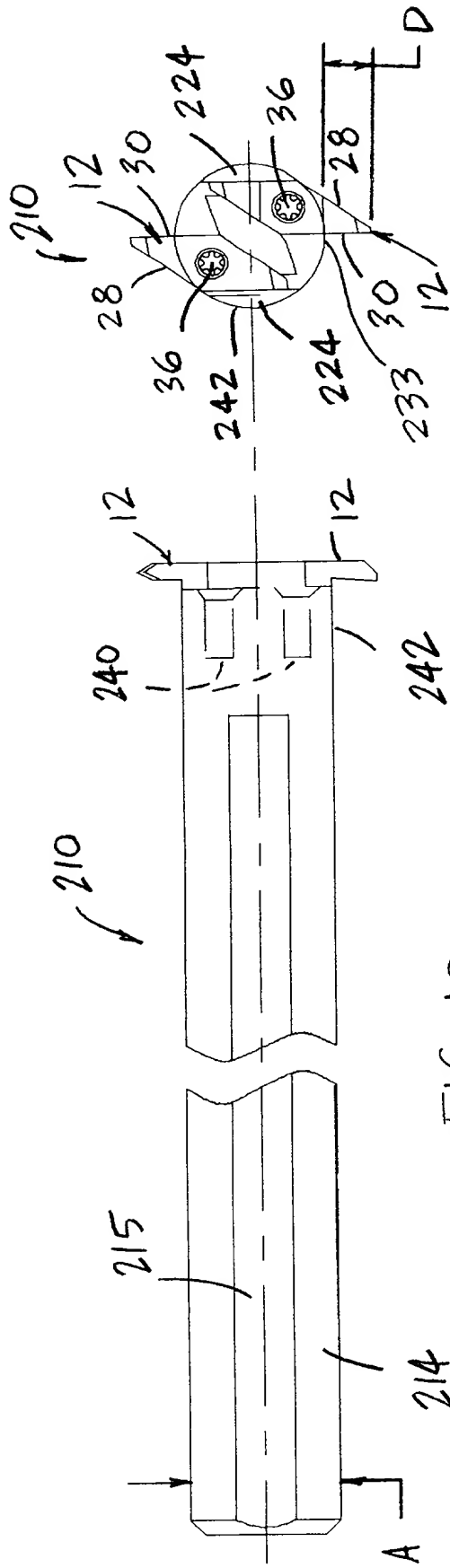
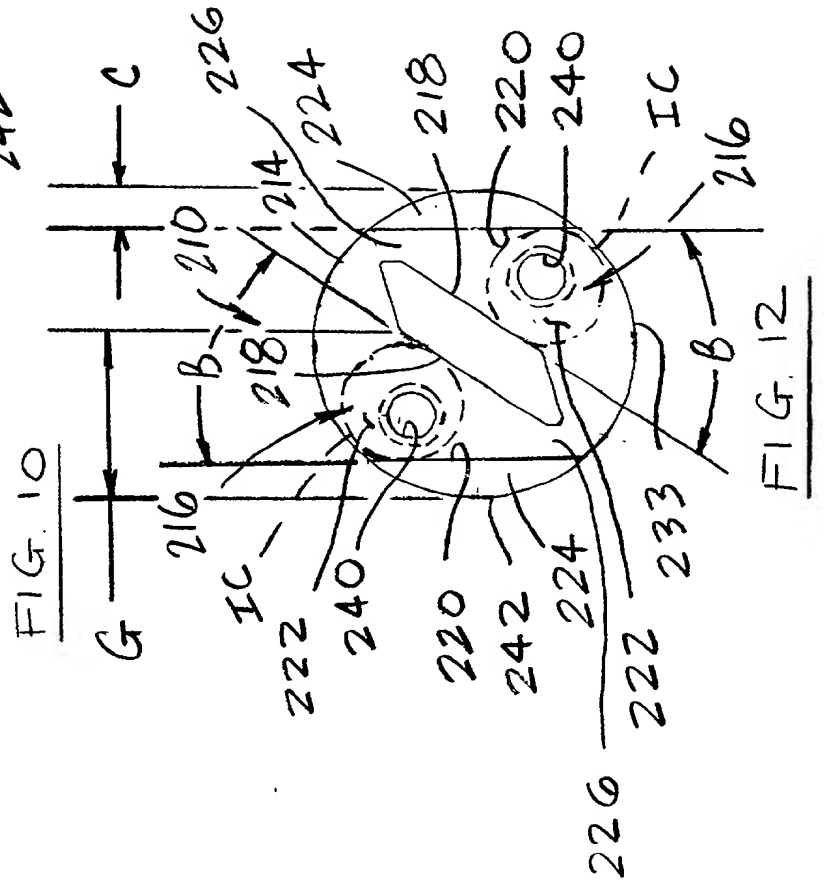


FIG. 11



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SMALL-SHANK TOOL FOR AUTOMATIC LATHES, the specification of which

(Check X is attached hereto.
one)

_____ was filed on _____ as
Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §§1.56 and 1.63(d).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority
Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code

of Federal Regulations, §§1.56 and 1.63(d) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/632,347</u>	<u>April 10, 1996</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status) (Patented, pending, abandoned)

I hereby appoint Theodore R. Paulding, Registration No. 19,294; Donald K. Huber, Registration No. 18,686; John C. Hilton, Registration No. 22,965; Frederick J. Haesche, Registration No. 24,529; John C. Linderman, Registration No. 24,420; J. Kevin Grogan, Registration No. 31,961; Joseph S. Kentoffio, Registration No. 33,189; Mark D. Giarratana, Registration No. 32,615; F. Tyler Morrison III, Registration No. 36,220; and Robert D. Crawford, Registration No. 38,119, all of the firm of McCormick, Paulding & Huber, CityPlace II, 185 Asylum Street, Hartford, Connecticut 06103-4102, telephone (860) 549-5290, as my attorneys to prosecute this application, to make alterations and amendments therein, to receive the patent and all correspondence relating to this application, and to transact all business in the U. S. Patent and Trademark Office connected therewith, and the said attorneys are hereby given full power of substitution and revocation.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

William R. Fountaine

Full name of sole or first inventor

Full Name of second joint
inventor, if any

William R. Fountaine

Inventor's Signature

Inventor's Signature

3-3-98

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